

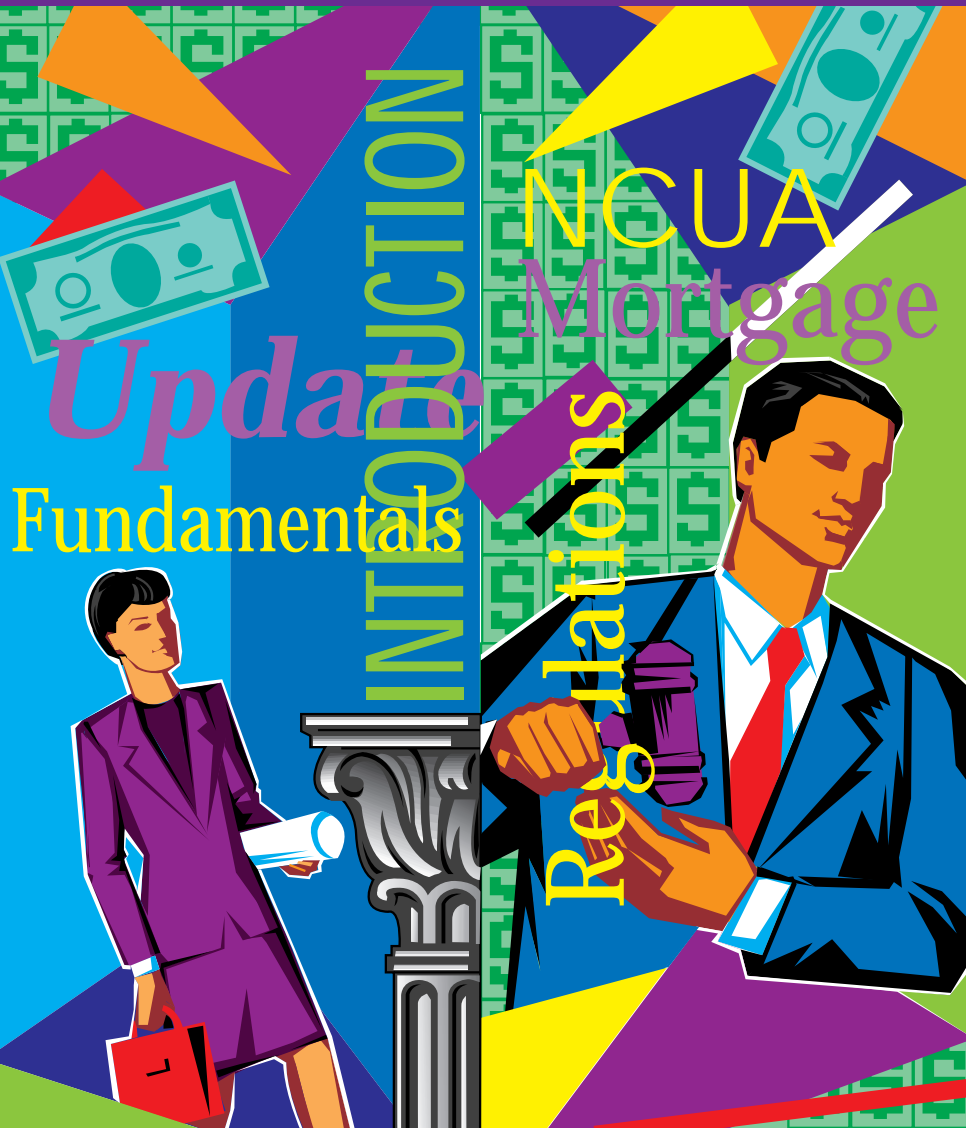
2003

# Regulatory Compliance Schools

OUR UP-TO-DATE SEMINAR AND SCHOOLS WILL PREPARE YOU FOR ANY COMPLIANCE CHALLENGE.



RegTraC Certification & Recertification Available



## COMPLIANCE FUNDAMENTALS SEMINAR

March 29 - 30, 2003 • St. Pete Beach, FL  
September 6 - 7, 2003 • San Diego, CA

OR  
 eSEMINAR

January 7 - 14, 2003 and August 6 - 20, 2003

## REGULATORY COMPLIANCE SCHOOL: INTRODUCTION

March 30 - April 4, 2003 • St. Pete Beach, FL  
September 7 - 12, 2003 • San Diego, CA

OR  
 eSCHOOL

January 21 - March 18, 2003

## REGULATORY COMPLIANCE SCHOOL: UPDATE

March 30 - April 3, 2003 • St. Pete Beach, FL  
September 7 - 11, 2003 • San Diego, CA

OR  
 eSCHOOL

November 4 - December 9, 2003

YOU CHOOSE THE TRAINING

BROAD-BASED

IN-DEPTH



# Regulatory Compliance Schools

*From program overviews to exact NCUA requirements...these schools offer immeasurable opportunities for your compliance training.*

## SEMINAR INSTRUCTOR



**WOODY HODGDON**

Woody has been involved in credit union management and compliance for more than 25 years. His unique approach to compliance training makes him a popular speaker at compliance seminars around the country. He regularly conducts compliance training sessions for credit union leagues and has been a compliance instructor for CUNA for more than eight years.

## JUST A FEW OF OUR FEATURED SPEAKERS



**TYLER F. PARKER**

Tyler F. Parker is a nationally recognized speaker on financial institution operational and compliance topics, who over the last 15 years has taught compliance programs for 45 credit union leagues, the Credit Union National Association, the National Credit Union Administration, and numerous credit unions and banks.



**TODD SHERPY**

Todd Shery is a shareholder in the South Carolina law firm of Turner, Padgett, Graham & Laney, P.A. Todd and his team are dedicated to serving all legal needs of credit unions, and have attained a national reputation for their compliance and bankruptcy-collections work. Todd dedicates a large portion of his time to teaching credit unions, having made presentations in 33 states in the last two years. He has authored numerous articles and books regarding credit unions and related topics, and is the editor of the periodical *Credit Union Legal News*.



**MARY-LOU HEIGHES**

Mary-Lou Heighes has been involved in the credit union movement since 1989. Her areas of expertise involve marketing, collections, general operations, lending, and of course — compliance. She is president of Compliance Plus, Inc., which provides compliance training and consulting services to credit unions. Prior to starting Compliance Plus, she worked in the California/Nevada Credit Union League's research and information department for seven years, answering credit union compliance and operational questions on the Research and Information Hotline.



**RAANN WOOD**

RaAnn Wood, a self-confessed "compliance junkie," brings more than 18 years of financial experience into her training classes. She is with the research and information department of the California Credit Union League, where she monitors and analyzes developments in the regulatory arena and their impact on credit unions. RaAnn delivers public presentations on a variety of topics, specializing in regulatory compliance.



# Compliance Fundamentals Seminar

March 29 - 30, 2003 • St. Pete Beach, FL

September 6 - 7, 2003 • San Diego, CA

Tuition \$500

This two-day seminar provides a good foundation of compliance issues for those new to compliance and provides an overview of regulations in a clear, simplified way. The seminar may be taken alone but is a perfect match for those beginning in compliance, when taken with the **Regulatory Compliance School: Introduction**.

## Regulations A to Z

Build a solid understanding of the regulations that affect your credit union operations with this comprehensive overview of more than 25 regulations that affect credit unions and their members.

## School Content

### Day 1

SATURDAY

8:45 - 9:00  
Orientation/Registration

9:00 - 12:00  
**Regulations A to Z**  
• Build the foundation of your regulatory knowledge by reviewing the key points of each regulation

12:00 - 1:15  
Box Lunch Provided

1:15 - 4:00  
**Regulations A to Z**  
(continued)

### Day 2

SUNDAY

9:00 - 12:00  
**Regulations A to Z**  
(continued)

- Staff training made fun

12:00 - 1:15  
Box Lunch Provided

1:15 - 4:00  
**Successful Compliance Program Management**  
• Implementing a compliance program  
• Compliance resources and tools



*“Being new to the compliance world, this program gave me a great overview of compliance in general. I now have immediate resources with the manuals, and the contacts that were made that I can draw on for comments and opinions.”*

— Cheryl M. Kaesik, Project Compliance Officer  
Credit Union of Denver, CO • \$310M

## eSeminar: Compliance Fundamentals Seminar

Tuition: \$500 for all three classes

Classes are held 2:00 - 4:00 p.m. (central time)

January 7 - 14, 2003 AND August 6 - 20, 2003

Note: The January and August classes are the same program offered twice for your convenience.

### REGULATIONS A TO Z

Build a solid understanding of the regulations that affect your credit union operations with this comprehensive overview of more than 25 regulations that affect credit unions and their members.

#### Class 1: January 7 and August 6, 2003

##### Regulations A to Z: Part I

- Deposit account regulations
- General operations regulations
- Miscellaneous NCUA requirements

#### Class 2: January 9 and August 13, 2003

##### Regulations A to Z: Part II

- Consumer lending regulations
- Consumer leasing regulations
- Business lending regulations

#### Class 3: January 14 and August 20, 2003

##### Regulations A to Z: Part III

- Mortgage lending regulations
- Fair lending regulations
- How to have fun and train staff on compliance issues at the same time!

### WHAT IS AN eSEMINAR?

eSeminars are a unique blended approach to learning, featuring live, real-time interactive instruction from industry experts. This, combined with self-paced web activities, including group discussions, exercises, simulations, and case studies, provides an interactive learning experience.

For more information on all eSeminars, visit [www.cuna.org/training.html](http://www.cuna.org/training.html), and choose eLearning, then eSchools; or call (800) 356-9655, ext. 4249; or e-mail [elearning@cuna.coop](mailto:elearning@cuna.coop).

# Regulatory Compliance School: Introduction



March 30 - April 4, 2003 • St. Pete Beach, FL

September 7 - 12, 2003 • San Diego, CA

**Tuition \$1,295**

This comprehensive school provides overall compliance training in a focused environment. RegTraC (CUNA's Regulatory Training and Certification Program) is the basis for the Introduction School. Participants will cover modules on deposit accounts, general operations, consumer lending, mortgage lending, NCUA requirements and guidance, and successful compliance program management. Optional testing will be offered after each module for those interested in receiving their RegTraC certification.

## School Content

Study Rooms Provided Each Evening

### Registration

#### SUNDAY

- 1:15 - 4:00  
OPTIONAL SESSION  
**Successful Compliance Program Management**
- Implementing a compliance program
  - Staff training made fun
  - Compliance resources and tools
- 5:30 - 6:30  
*Registration*
- 6:30 - 7:30  
*Welcome Reception*

### Day 1

#### MONDAY

- 8:00 - 8:15  
**Orientation**
- 8:15 - 11:45  
**Mortgage Lending Regulations**
- Real Estate Settlement Procedures Act
  - Reg C – Home Mortgage Disclosure Act
  - Fair Housing Act
  - Flood Disaster Protection Act
  - Homeowner's Protection Act
  - Secondary market guidelines
- 11:45 - 1:00  
*Networking Lunch Provided*
- 1:00 - 4:00  
**Mortgage Lending Regulations (continued)**
- 4:00 - 5:00  
**Study Session (optional)**
- 6:00 - 7:30  
**Mortgage Lending Regulations Exam (optional)**

### Day 2

#### TUESDAY

- 8:00 - 11:45  
**Consumer Lending Regulations**
- Reg Z – Truth in lending
  - Reg B – Equal credit opportunity
  - Reg M – Consumer leasing
  - Soldiers' and Sailors' Civil Relief Act
  - Fair Credit Reporting Act
  - Fair Debt Collection Practices Act
  - Business lending regulations
  - Reg U – Margin stocks
  - NCUA lending regulations
- 11:45 - 1:00  
*Lunch on Your Own*
- 1:00 - 4:00  
**Consumer Lending Regulations (continued)**
- 4:00 - 5:00  
**Study Session (optional)**
- 6:00-7:30  
**Consumer Lending Regulations Exam (optional)**

### Day 3

#### WEDNESDAY

- 8:00 - 11:45  
**NCUA Requirements and Guidance**
- NCUA overview
  - Share insurance
  - Management issues
  - Field of membership
  - Supervisory/audit committees
  - Investment rules
  - CUSOs
- 11:45 - 1:00  
*Lunch on Your Own*
- 1:00 - 4:00  
**NCUA Requirements and Guidance (continued)**
- 4:00 - 5:00  
**Study Session (optional)**
- 6:00 - 7:30  
**NCUA Requirements and Guidance Exam (optional)**

### Day 4

#### THURSDAY

- 8:00 - 11:45  
**Deposit Account Regulations**
- Truth in savings – NCUA Part 707
  - Reg D – Reserve requirements
  - Reg E – Electronic funds transfer
  - Reg CC – Availability of funds and collection of checks
  - UCC Articles 3 & 4 – Law of checking accounts
  - Reg J and UCC Article 4A
- 11:45 - 1:00  
*Lunch on Your Own*
- 1:00 - 4:00  
**Deposit Account Regulations (continued)**
- 4:00 - 5:00  
**Study Session (optional)**
- 6:00 - 7:30  
**Deposit Account Regulations Exam (optional)**

### Day 5

#### FRIDAY

- 8:00 - 11:45  
**General Operations Regulations**
- Bank Bribery Act
  - Right to Financial Privacy Act
  - Bank Secrecy Act
  - IRS information reporting and withholding requirements
  - Privacy regulations
  - Office of Foreign Assets Control (OFAC)
  - ESIGN
- 11:45 - 1:00  
*Lunch on Your Own*
- 1:00 - 2:30  
**General Operations Regulations Exam (optional)**

#### WHO SHOULD ATTEND

Compliance staff who are new to compliance, need an overview of all compliance areas, or are currently doing compliance at the credit union, but haven't had compliance training in more than two years.

# New for 2003 – Mix and match your eSchool classes!

For only \$279/class you can take just the eClasses that interest you!

## eSchool: Regulatory Compliance School: Introduction

January 21 - March 18, 2003

Classes are held 2:00 - 4:00 p.m. (central time).

**Tuition: \$1,295 for all 9 classes**

**Mix and match eClasses for only \$279/class**

### Class 1: January 21, 2003

#### Deposit Account Regulations

- Truth in savings – NCUA Part 707
- Reg D – Reserve requirements
- Reg E – Electronic fund transfers
- Reg CC – Expedited funds, availability and collection of checks
- UCC Articles 3 & 4 – Law of checking accounts
- UCC Article 4A and Reg J

### Class 2: January 28, 2003

#### General Operations Regulations

- Bank Bribery Act
- Right to Financial Privacy Act
- Bank Secrecy Act
- IRS information reporting and withholding requirements
- Privacy regulations
- OFAC – Office of Foreign Assets Control
- ESIGN

### Classes 3 & 4: February 4 & 11, 2003\*

#### Mortgage Lending Regulations

- Real Estate Settlement Procedures Act
- Reg C – Home Mortgage Disclosure Act
- Fair Housing Act
- Flood Disaster Protection Act
- Homeowner's Protection Act
- Secondary mortgage markets

\* Classes 3 and 4, 5 and 6, and 7 and 8 must be taken as a set for \$279 each class.

### Classes 5 & 6: February 18 & 25, 2003\*

#### Consumer Lending Regulations

- Reg Z – Truth in lending
- Reg B – Equal credit opportunity
- Reg M – Consumer leasing
- Soldiers' and Sailors' Civil Relief Act
- Fair Credit Reporting Act
- Fair Debt Collection Practices Act
- Business lending regulations
- NCUA lending regulations
- Reg U – Margin stocks

### Class 7 & 8: March 4 & 11, 2003\*

#### NCUA Requirements and Guidance

- NCUA overview
- Federal share insurance
- Management issues
- Field of membership
- Supervisory/audit committees
- Investment rules
- CUSOs

### Class 9: March 18, 2003

#### Successful Compliance Program Management

- Tips on how to set up and maintain a professional compliance program
- Tips on conducting a compliance audit and preparing the audit report
- Tips on how to make compliance training fun and why it is important to the success of your compliance program
- Preparing for an NCUA exam

### WHAT ARE eSCHOOLS?

eSchools are a unique blended approach to learning, featuring live, real-time interactive instruction from industry experts. This, combined with self-paced web activities, including group discussions, exercises, simulations, and case studies, provides an interactive learning experience.

- Each program consists of three to nine sessions of live virtual classroom instruction lasting approximately two hours, encompassing audio, lectures, group discussion, and live chats.
- In addition to the scheduled live classroom events, students will engage in online activities including discussion groups, additional readings and case studies. Study hours for this portion of the course are not fixed, giving students the flexibility to choose the best time each week to complete these activities. Students should expect to spend three to six hours on web-based activities for each scheduled eClass session.

### WHAT ARE eSCHOOLS' FEATURES & BENEFITS?

- An affordable and convenient way to get your training.
- No travel costs or time away from the credit union — learn right from the comfort of your own office.
- Share ideas and network with peers via online discussion groups.
- Small class sizes allow you to get the most from course activities and instructors.

For more information on all eSchools, visit [www.cuna.org/training.html](http://www.cuna.org/training.html), and choose eLearning, then eSchools; or call (800) 356-9655, ext. 4249; or e-mail [elearning@cuna.coop](mailto:elearning@cuna.coop).



*The Regulatory Compliance School: Introduction was by far one of the best training experiences I have had in many years. The staff and speakers were exceptional and put together a well planned and documented program. The program made me aware that while regulatory compliance is very complex we need not make the issues harder than they really are.*

– Loretta Chatagnier, President, Beaumont Municipal Employees Credit Union, Beaumont, Texas \$13.5M

# Regulatory Compliance School: Update



March 30 - April 3, 2003 • St. Pete Beach, FL

September 7 - 11, 2003 • San Diego, CA

Tuition \$1,195

The Regulatory Compliance Update program will focus on new and revised regulations, take a close look at current compliance issues, and advise you of any pending compliance deadlines. On Monday and Wednesday afternoon, take a practical look at solutions to your current compliance challenges. CUCes that need to recertify their designation will have an opportunity to recertify on Tuesday afternoon. On Friday afternoon, a mock trial will be held using a current compliance hot topic with the audience (you!) as the jury.

## School Content

Evenings Free

Registration	Day 1	Day 2	Day 3	Day 4
SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY
1:15 - 4:00 OPTIONAL SESSION <b>Successful Compliance Program Management</b> • Implementing a compliance program • Staff training made fun • Compliance resources and tools	8:15 - 8:30 <b>Orientation</b>  8:30 - 12:00 <b>Lending Issues</b> • Update on current regulatory changes • Discussion on upcoming compliance deadlines  12:00 - 1:15 <i>Networking Lunch Provided</i>  1:15 - 3:00 <b>Lending Issues</b> • Advertising and marketing issues • Disclosure requirements  3:00 - 4:30 <b>Case Studies</b> • Get a practical look at how the current changes in lending requirements will affect your credit union's operations	8:30 - 12:00 <b>Current Compliance Challenges</b> • A look at current and upcoming issues  12:00 - 1:15 <i>Lunch on Your Own</i>  1:15 - 3:00 <b>Current Compliance Challenges (continued)</b> • Discuss your compliance challenges with your peers  3:00 - 5:00 <b>RegTraC Recertification Study Session (optional)</b>  5:30 - 7:00 <b>Recertification Exam* (optional)</b>	8:30 - 12:00 <b>Deposit Account Issues</b> • Update on current regulatory changes • Discussion of upcoming compliance deadlines  12:00 - 1:15 <i>Lunch on Your Own</i>  1:15 - 3:00 <b>Operational Issues</b> • Update on current regulatory changes • Discussion of upcoming compliance deadlines  3:00 - 4:30 <b>Case Studies</b> • Get a practical look at how current changes in these regulations will affect your credit union's operations	8:30 - 11:00 <b>The Rest of the Story</b> • Get a legislative update from the Hill • The importance of making your comments on pending legislation known  11:00 - 11:30 <b>Case History of Mock Trial</b>  11:30 - 12:45 <i>Lunch on Your Own</i>  12:45 - 3:00 <b>Mock Trial and Wrap-up</b> • Evaluations • Certificates  <i>Have a safe trip home!</i>

### WHO SHOULD ATTEND

#### Regulatory Compliance School: Update

Compliance staff who are interested in compliance updates or who have had compliance training in the past two years.

## \* Earn Your RegTraC Certification or Recertification

### National recognition of your compliance expertise:

Testing is provided for those pursuing their compliance certification. Study groups will be set up to provide a collegial environment to prepare. You can test after each module, choose to take the exams at a later date, or benefit from the knowledge you received at the school and choose not to test. You decide what works best for you. After completing the exams on **Deposit Accounts, General Operations, Consumer Lending, Mortgage Lending, and NCUA Requirements and Guidance**, participants will receive a "Compliance Expert Certificate" and designation as a Credit Union Compliance Expert (CUCe).

### RegTraC Recertification

Beginning in 2003, a study session and exam time will be part of the Regulatory Compliance School: Update session. An instructor-led study session will be held one afternoon to review the major regulatory changes, with testing available that evening. Registrants will receive a study guide prior to the school.





# eSchool: Regulatory Compliance School: Update

November 4 -  
December 9, 2003

**Tuition: \$1,195 for all 6 classes**

Classes are held  
2:00 - 4:00 p.m.  
(central time).

**Mix and match eClasses for only \$279/class**

## **Class 1: November 4, 2003**

### **Operational Issues Update**

- Recent changes in the laws:  
OFAC, BSA, USA PATRIOT Act
- Developing policies and procedures
- Monitoring OFAC lists
- "Know your member" requirements

## **Class 2: November 11, 2003**

### **Disaster Recovery and RegTraC Recertification Study Session**

- Disaster recovery policy
- Disaster recovery manual
- Security assessment
- Review of recertification topics

## **Class 3: November 18, 2003**

### **Deposit Account Issues and Case Study**

- Update on current deposit account issues
- Review of upcoming compliance deadlines
- Practical, hands-on case study

## **Class 4: November 25, 2003**

### **General Operations Issues and Case Study**

- Update on current regulatory changes
- Discussion on upcoming compliance deadlines
- Practical, hands-on case study

## **Class 5: December 2, 2003**

### **Lending Regulations and Case Study**

- Update on current regulatory changes
- Upcoming compliance deadlines
- Practical, hands-on case study

## **Class 6: December 9, 2003**

### **Mortgage Lending Issues and Case Study**

- Current court cases
- Upcoming deadlines
- Update on regulatory changes
- Practical, hands-on case study

## **Tuition:**

**\$500**

Compliance Fundamentals Seminar or eSeminar

**\$1,295**

Regulatory Compliance School or eSchool (Introduction)

**\$1,195**

Regulatory Compliance School or eSchool (Update)

**\$279 (each class)**

For individual Regulatory Compliance  
(Introduction or Update) eSchool classes

For more information,

## **Call:**

(800) 356-9655

Program content, ext. 4139

Registration information, ext. 4043

**E-mail:** [elearning@cuna.coop](mailto:elearning@cuna.coop)

## **Continuing Professional Education (CPE) Credits**

CUNA & Affiliates is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Nashville, TN 37219-2417. Telephone: (615) 880-4200. Web site: [www.nasba.org](http://www.nasba.org).

**CPE Credits: You can earn 6 CPE credit hours for Compliance Fundamentals Seminar; 26 CPE credit hours for Regulatory Compliance School: Introduction; 22 CPE credit hours for Regulatory Compliance School: Update; 4 CPE credit hours for Compliance Fundamentals eSeminar; 15 CPE credit hours for Regulatory Compliance eSchool: Introduction; and 10 CPE credit hours for Regulatory Compliance eSchool: Update. No advance preparation is required.**

## **Certified Internal Auditor (CIA) Designation**

Professionals who fulfill the RegTraC specialization certification by examination are encouraged to pursue the Certified Internal Auditor (CIA) designation through the Institute of Internal Auditors (IIA) Board of Regents, which maintains overall responsibility for the CIA program and awards certification to those candidates who have met all requirements.

Information on the CIA program is available from Brenda DeWeese Lovell, Director, Certifications and Business Development, at the Institute of Internal Auditors, at (407) 830-7600.

## **Travel Arrangements**

United Airlines is the "official airline" for CUNA's programs. Call United's specialized meetings reservation center at (800) 521-4041 to make your airline reservations. Please reference ID #553SF.

## **Cancellations and Refunds**

Cancellations received in writing (via fax (608) 231-4327) seven or more days before the start of the program are eligible for a refund of the amount paid minus a \$200 administrative fee. No refunds will be granted if cancellation is received seven days or less before a program begins. Substitutions are accepted anytime prior to the start of the program at no additional cost. Simply fax an updated registration form, listing the new participant and who they will be replacing.

**COMPLIANCE FUNDAMENTALS SEMINAR  
REGULATORY COMPLIANCE SCHOOL: INTRODUCTION  
REGULATORY COMPLIANCE SCHOOL: UPDATE  
MARCH - APRIL, 2003**

**TradeWinds Island Grand Resort**

5500 Gulf Boulevard  
St. Pete Beach, FL 33706  
Phone: (727) 367-6461  
Fax: (727) 562-1214  
Room Rate: \$169 sgl/dbl  
or \$219 one-bedroom suite

**Hotel Reservation Deadline: February 28, 2003\***

The quarter-mile waterway that meanders throughout the resort is home to swans, ducks, and native egrets and herons. Complimentary paddle boats are available to explore the waterway, and gondola cruises may be scheduled for more leisurely trips. With ten food, beverage, and entertainment venues, TradeWinds presents a broad spectrum of dining interests from casual to elegant.



**COMPLIANCE FUNDAMENTALS SEMINAR  
REGULATORY COMPLIANCE SCHOOL: INTRODUCTION  
REGULATORY COMPLIANCE SCHOOL: UPDATE  
SEPTEMBER, 2003**

**Catamaran Resort Hotel**

3999 Mission Boulevard  
San Diego, CA 92109  
Phone (858) 488-1081  
Fax (858) 488-1619  
Room Rate: \$159 sgl/dbl

**Hotel Reservation Deadline: August 8, 2003\***

Stay right on Mission Bay, just steps away from the Pacific Ocean. Experience the glamour of the Hawaiian Islands with two exotic resident birds perched above an atrium waterfall in the lushly landscaped lobby, complete with Koi fish swimming in a pond. The grounds are similarly tropical, and tiki torches light the way for guests staying at one of the six two-story buildings or the 14-story high-rise (the view from the upper floors of the latter is spectacular). The room decor — dark wicker furniture and tropical prints — echoes the hotel's Polynesian theme.



\* Room rates and availability cannot be guaranteed. Rooms may be sold out prior to the reservation deadline, so make your hotel reservations early! Room reservations and fees are the responsibility of the registrant.



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& MARKETING GUIDE**  
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To order: Call CUNA Member Service at (800) 356-8010, press 3. Visit [www.cuna.org/training.html](http://www.cuna.org/training.html) for more information on CUNA's products and services.

**REGULATORY TRAINING  
AND CERTIFICATION  
PROGRAM (RegTraC)**

CUNA's *Regulatory Training and Certification* program (RegTraC) provides practical easy-to-understand training on the complex world of regulatory compliance for all employees. RegTraC offers two levels of certification in a convenient, self-paced study format, allowing you the flexibility of studying when it best fits your schedule. After successfully completing the exams for Modules 1 through 5, Level One graduates receive the **Compliance Achievement Award**. Level Two graduates earn the designation of **CUCE (Credit Union Compliance Expert)** and receive the **Compliance Expert Certificate**. These awards recognize your dedication to professionalism and commitment to your credit union.



For more information on RegTraC, call (800) 356-9655, ext. 4066.

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EXECUTIVE  
NEWSLETTER**  
6 MONTHS  
— 21 ISSUES FREE

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# REGISTRATION FORM



Please complete one form per registrant. Type or print all information.  
Photocopy for multiple registrations.

**Fax:** (608) 231-4327  
**Mail:** Credit Union National Association; P.O. Box 78546; Milwaukee, WI 53278-0546  
**Register Online:** www.cuna.org/training.html

## REGISTRATION INFORMATION

Name \_\_\_\_\_  
 Name preferred on badge \_\_\_\_\_  
 Title \_\_\_\_\_ Social Security # (optional) \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
 Credit union name \_\_\_\_\_  
 CU mailing address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
 Daytime phone number ( \_\_\_\_\_ ) \_\_\_\_\_  
 Fax number ( \_\_\_\_\_ ) \_\_\_\_\_ Data processing vendor \_\_\_\_\_  
 E-mail address \_\_\_\_\_

Check here if you have any disability that requires special services.

In case of emergency, please contact (required):

Day contact name \_\_\_\_\_ Phone ( \_\_\_\_\_ ) \_\_\_\_\_  
 Night contact name \_\_\_\_\_ Phone ( \_\_\_\_\_ ) \_\_\_\_\_

## TUITION

(Please check which program or eSchool session you wish to attend.)

### Compliance Fundamentals Seminar or eSeminar

- \$500 Compliance Fundamentals Seminar – March 29-30, 2003 - St. Pete Beach, FL (RCAFL03) . . . \$ \_\_\_\_\_
- \$500 Compliance Fundamentals Seminar – September 6-7, 2003 - San Diego, CA (RCACA03) . . . \$ \_\_\_\_\_
- \$500 **eSeminar:** Compliance Fundamentals Seminar – January 7-14, 2003 (3 classes) (ERCA103) . . . \$ \_\_\_\_\_
- \$500 **eSeminar:** Compliance Fundamentals Seminar – August 6-20, 2003 (3 classes) (ERCA803) . . . \$ \_\_\_\_\_

### Regulatory Compliance School or eSchool (Introduction)

- \$1,295 Regulatory Compliance School: Introduction  
March 30-April 4 – St. Pete Beach, FL (RC1FL03) . . . \$ \_\_\_\_\_
- \$1,295 Regulatory Compliance School: Introduction  
September 7-12 – San Diego, CA (RC1CA03) . . . \$ \_\_\_\_\_
- \$1,295 **eSchool:** Regulatory Compliance School: Introduction  
January 21-March 18, 2003 (9 classes) (ERCS103) . . . \$ \_\_\_\_\_

### Regulatory Compliance School or eSchool (Update)

- \$1,195 Regulatory Compliance School: Update  
March 30-April 3 – St. Pete Beach, FL (RC2FL03) . . . \$ \_\_\_\_\_
- \$1,195 Regulatory Compliance School: Update  
September 7-11 – San Diego, CA (RC2CA03) . . . \$ \_\_\_\_\_
- \$1,195 **eSchool:** Regulatory Compliance School: Update  
November 4-December 9, 2003 (6 classes) (ERCU103) . . . \$ \_\_\_\_\_

**\$100 Council discount** (Discount applies only to \$1,195 or \$1,295 program.)

(Registrant must be a Council member to qualify for the discount.)

minus (\$ \_\_\_\_\_)

Which Council are you a member of? \_\_\_\_\_

## eSCHOOLS: INDIVIDUAL CLASSES:

### Regulatory Compliance School: Introduction

- \$279 Class 1: January 21, 2003 (ERCSC13) . . . \$ \_\_\_\_\_
- \$279 Class 2: January 28, 2003 (ERCSC23) . . . \$ \_\_\_\_\_
- \$279 Class 3: February 4, 2003 (ERCSC33)\* . . . \$ \_\_\_\_\_
- \$279 Class 4: February 11, 2003 (ERCSC43)\* . . . \$ \_\_\_\_\_
- \$279 Class 5: February 18, 2003 (ERCSC53)\* . . . \$ \_\_\_\_\_
- \$279 Class 6: February 25, 2003 (ERCSC63)\* . . . \$ \_\_\_\_\_
- \$279 Class 7: March 4, 2003 (ERCSC73)\* . . . \$ \_\_\_\_\_
- \$279 Class 8: March 11, 2003 (ERCSC83)\* . . . \$ \_\_\_\_\_
- \$279 Class 9: March 18, 2003 (ERCSC93) . . . \$ \_\_\_\_\_

\* Classes 3 and 4, 5 and 6, and 7 and 8 must be taken as a set for \$279 each class.

### Regulatory Compliance School: Update

- \$279 Class 1: November 4, 2003 (ERCUC13) . . . \$ \_\_\_\_\_
- \$279 Class 2: November 11, 2003 (ERCUC23) . . . \$ \_\_\_\_\_
- \$279 Class 3: November 18, 2003 (ERCUC33) . . . \$ \_\_\_\_\_
- \$279 Class 4: November 25, 2003 (ERCUC43) . . . \$ \_\_\_\_\_
- \$279 Class 5: December 2, 2003 (ERCUC53) . . . \$ \_\_\_\_\_
- \$279 Class 6: December 9, 2003 (ERCUC63) . . . \$ \_\_\_\_\_

TOTAL ENCLOSED \$ \_\_\_\_\_

## CHOOSE YOUR FREE PUBLICATION

(Choose any free publication that you are not already receiving. One per registration.)

- Credit Union Magazine (6 monthly issues) (N1)
- Credit Union Directors Newsletter (12 monthly issues) (N2)
- Credit Union Executive Newsletter (6 months – 21 issues) (N3)

## PAYMENT METHOD

(Payment must accompany registration.)

Check/share draft enclosed  
**(Payable to Credit Union National Association, Inc.)**

If you are registering less than seven days prior to the program, payment must be via credit card.

Charge my credit card  
 VISA  MasterCard

Card account number

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Signature

\_\_\_\_\_

Expiration date \_\_\_\_\_

Print name as it appears on card

\_\_\_\_\_

## LEAGUE AFFILIATED

Yes  No

(Please note: Affiliation with CUNA is required for attendance by U.S. credit unions, but limited exceptions may apply.)

Please be advised that CUNA & Affiliates reserves the right to photograph any and all program attendees and guests during program activities and meetings and reprint such photographs, in whole or in part, for future CUNA promotional uses.

### FOR OFFICE USE ONLY:

Customer # \_\_\_\_\_

Parent # \_\_\_\_\_

Authorization \_\_\_\_\_

Order # \_\_\_\_\_

Confirm Date \_\_\_\_\_

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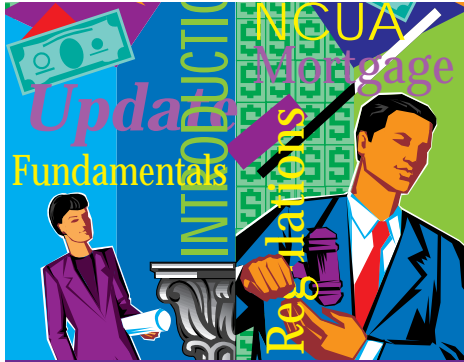


**CUNA & Affiliates**  
[www.cuna.org](http://www.cuna.org)

A Member of the Credit Union System

**Credit Union  
National Association, Inc.**

P.O. Box 431  
Madison, WI  
53701-0431



## REGULATORY COMPLIANCE SCHOOLS

*If you're responsible  
for compliance or  
need a thorough  
understanding of  
compliance issues...  
register today!*



*This would definitely be  
something I would  
recommend to someone  
who has responsibility for  
compliance at their CU.  
I don't know how I would  
be able to do this position  
justice without attending  
this program. The additional  
time put in to attain  
the CUCE designation,  
was worth it.*

*– Marci Aguayo, High Desert FCU, Director  
of HR/Training/Compliance, \$82M*