

Bank NCUA Requirements UCC  
Secrecy Truth in Savings Article 9  
Act Rules & Regulations OFAC  
Reg CC Fair Credit  
Reporting Act

How do you face  
compliance  
challenges?



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How do you face  
compliance  
challenges?



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- Reg D – Reserve Requirements
- Truth in Savings – NCUA Part 707
- Reg E – Electronic Fund Transfers
- Reg J and UCC Article 4A
- UCC Articles 3 and 4 – Law of Checking Accounts

## General Operations Regulations (Module 2)

Courses include:

- Bank Secrecy Act
- OFAC – Office of Foreign Assets Control
- Right to Financial Privacy Act
- Bank Bribery Act
- IRS Information Reporting and Withholding Requirements
- E-SIGN – Electronic Signatures in Global and National Commerce Act
- NCUA Privacy Regulation

## Consumer Lending Regulations (Module 3)

Courses include:

- UCC Article 9
- Fair Debt Collection Practices Act
- Business Lending Regulations
- Fair Credit Reporting Act
- NCUA Lending Regulations
- Reg B – Equal Credit Opportunity Act
- Servicemembers' Civil Relief Act
- Reg Z – Truth in Lending

## Mortgage Lending Regulations (Module 4)

Courses include:

- Flood Disaster Protection Act
- Homeowner's Protection Act
- RESPA – Real Estate Settlement Procedures Act and Reg X
- Reg C – Home Mortgage Disclosure Act
- Fair Housing Act
- Secondary Mortgage Markets

## NCUA Requirements and Guidance (Module 5)

Courses include:

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- Federal Share Insurance Rules
- Management Issues
- Field of Membership Rules
- Supervisory Committee Duties
- CUSOs

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- Conducting Compliance Audits
- Preparing for an NCUA Exam
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- Required Compliance Training

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Credit Union National Association

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